The Problem of Prisons

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The PROBLEM of PRISONS

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PUBLISHED AS AN EDUCATIONAL SERVICE BY
NATIONAL PEACE LITERATURE SERVICE
AMERICAN FRIENDS SERVICE COMMITTEE
JUNE 1970
Imprisonment as it exists today is a worse crime than any of those committed by its victims; for no single criminal can be as powerful for evil, or as unrestrained in its exercise, as an organized nation. Therefore, if any person is addressing himself to the perusal of this dreadful subject in the spirit of a philanthropist bent on reforming a necessary and beneficent public institution, I beg him to put it down and go about some other business. It is just such reformers who have in the past made the neglect, oppression, corruption and physical torture of the old common gaol the pretext for transforming it into that diabolical den of torment, mischief and damnation, the modern model prison.

If, on the contrary, the reader comes as a repentant sinner, let him read on.

--George Bernard Shaw

One of the most difficult and one of the most ignored of our social problems is the problem of prisons—a problem which might be ameliorated through drastic prison reform, but which can be solved only through the abolition of prisons.

The elimination of imprisonment may at first seem like a radical step, but alternatives to imprisonment are already widespread—fines and probation are often used, and traffic law violators are sometimes sentenced to attend classes in driver education. The advocacy of prison abolition implies simply that other courses of action, including, sometimes, doing nothing at all, are preferable to imprisonment. This conclusion is far from obvious—it may follow from a distaste for the use of violence—prisons resting fundamentally on the use of violence or the threat of its use—or from a careful consideration of prisons, their effects on inmates, and the relationship they have to the society at large. Here we take this latter viewpoint.
The problem of prisons differs from other important social problems in at least one respect; most of the situations which present themselves are problematic, whether race relations, militarism or air pollution, are widely recognized as problems, and receive much discussion and public attention. This is not true of prisons, and it is this that makes prisons one of the most difficult of public problems. With rare exceptions, only present or former prison inmates and their friends and family perceive prisons, per se, as presenting problems, and they are rarely in a position to do much about them. Neither periodic scandals and horror stories nor occasional prison riots have succeeded in awakening lasting public interest, though almost everyone recognizes that imprisonment is to be avoided if at all possible.

This lack of interest is to be explained only in part by the fact that most people never expect to be imprisoned. Yet the number of those involved is not so small either: at any one time, roughly 400,000 persons are imprisoned in federal, state or local penal institutions, while another 800,000 are on probation or parole, many of them former prisoners. During the course of a year, institutions receive 2.5 million persons as inmates, probationers or parolees; an additional 5.8 million family members are affected.2 Besides, expectation of possible future incarceration cannot be the only relevant consideration, since conditions in public zoos are often superior to those in many jails, even though no one expects to be locked up in a zoo. And political groups whose members have often been imprisoned have shown comparatively little interest in prisons. Rarely do they go beyond the familiar complaints about lack of rehabilitation programs to a more fundamental analysis.

To understand this absence of concern about prisons it is necessary to examine the several roles that prisons play in our society, and to explore popular beliefs about the functions prisons serve.

Most people believe that prisons exist to protect the public from those who commit anti-social acts, such as murder, rape, assault and theft. Another commonly accepted rationale for prisons is that they deter potential criminals. Historically, the common use of a fixed prison sentence is in fact roughly contemporary with the acceptance of the idea that crime could be deterred by imprisonment for a period sufficiently long that the rewards of crime would be outweighed by the inconvenience of long imprisonment. Prior to this time, corporal punishment and deportation were commonly used as punishment, and imprisonment was reserved primarily for those awaiting trial. The notion that imprisonment deters potential criminals rests on the belief that the decision to violate a law is a rational one, decided by weighing the rewards of the criminal act against the sanction of imprisonment, which is presumed to increase in severity with the length of the sentence. On this basis, longer sentences are considered more appropriate for crimes in which the rewards are especially high or the dangers to society particularly great.

A third role, the most ancient in origin, is that of punishment. In biblical times, transgression of divinely inspired rules for human behavior fell into the category of "sin," to be punished according to divine command. In modern times, the State has, for most people, replaced religion as the ultimate source of
authority, and most people believe that the State has both the right and the obligation to punish violations of its laws. Most people believe that such punishment insures the survival of an orderly society because they believe that anarchy would follow the elimination of punishment; some also believe that punishment improves the offender, just as it presumably improves small children who are punished by their parents.

By contrast, modern day penologists and prison administrators, at least at the level of rhetoric, consider the most important function of a prison to be rehabilitation, the improvement of a defective individual so that he can return to society as an acceptably functioning member.

In addition to the roles prisons play—or supposedly play—in the eyes of the public, prisons perform functions of which the public is often unaware, even though these unrecognized roles are the ones that involve the public most intimately. Crime, police, courts and jails serve, for many, a psychological function by providing the occasion for fulfillment of vicarious thrills and punishment, mental acting out of suppressed desires to participate in anti-social behavior, and transferrance of guilt feelings to others, differentiated as sharply as possible from themselves through the label "criminal." Prisons are important in helping to make that distinction. Obviously, the importance of this role cannot be measured quantitatively, but the wide consumption of detective novels and crime films leads one to feel intuitively that this factor is an important one.

Although little use until now has been made of prisons as a laboratory for social experiments, prisons have considerable potential for experiments in social control of human behavior, in directions that may be far from desirable. The growing interest on the part of the government in developing sophisticated techniques of managing populations without provoking rebellions, coming at a time when penologists are attempting to find new ways to control prison populations without the methods of physical coercion used in the past, provides some grounds for concern.

An important function of prisons is helping the public to avoid facing certain unpleasant problems. Neither individuals nor groups wish to be reminded of difficult problems, and in such circumstances they often prefer to avoid the problems by physically removing them, or at least their most visible manifestations. To choose a non-penal example, many people in our highly youth-oriented society are afraid of aging and of death. To avoid being confronted with these phenomena, we frequently place elderly people in special homes for the aged so that we don't have to see them; a variety of rationalizations whose factual basis is non-existent may be used to justify doing so. Prisons sometimes serve a similar function. Society has so far not developed methods for dealing with problems that arise from certain kinds of deviant social behavior through non-coercive means; such problems are more easily avoided than faced, and so society develops elaborate ways to remove problems from its midst. Among the principle means of doing this in our society are commitment to mental institutions or prisons, the choice being made on somewhat of an ad hoc basis. One reason prisons present a difficult problem is that their elimination requires the finding of other ways to deal with problems prisons are intended to solve; as with many social problems, solutions may not be easy to find, and the motivation to find them may be lacking as long as prisons, however inadequate they are, are still available.

Such considerations, however crucial for an understanding of popular support for imprisonment, are in fact relevant only for an extremely limited number of prisoners, since the great majority of prisoners do not in fact represent particularly serious problems. The most important factor in determining who goes to prison and how long he stays there is not the anti-social character of an act someone commits, but the social and economic class of the person committing the act, a fact which has far-reaching consequences for the presumed necessity of imprisonment.

A few examples will illustrate the point. Although the most frequently violated federal law is without doubt the income tax law, hardly any of the 20,000 federal prisoners are tax law violators—delinquent taxpayers are not usually imprisoned or even arrested: they are simply made to pay back taxes, with interest and a fine. By contrast, a very substantial fraction of
federal prisoners are young men who have been convicted of taking a stolen car across state lines. Those who steal cars are not allowed simply to return the car and pay interest on its value for the time it was "borrowed," with a small fine. The tax evader, who in the conventional view has stolen from the public, is not punished severely, and is usually not considered to need rehabilitation. The tax evader is pitied for having been caught, and is admired when he succeeds. Typically he comes from a middle or upper income group. By contrast, the car thief, usually from a low income, working-class background, is branded a "criminal" and imprisoned. The one represents no more of a threat to society than the other, and imprisonment is a priori no more appropriate to one than to the other. 4

Petty burglars may be imprisoned for years. The officials of General Electric, convicted of stealing millions from the public through price-fixing, got thirty days; they returned to business careers, and were not widely thought to present a problem to society. Hundreds of fellow business executives who do the same thing are ignored. If we can survive without locking up the latter, we can surely get along without imprisoning the small-time thieves, whose take is so much less.

Sex distinctions are also important. In New York, thousands of men are arrested each year, and many imprisoned, for homosexuality, but women are hardly ever arrested for that reason, perhaps because male judges feel more threatened by male homosexuals than by lesbians. The number of female prisoners in the United States is extremely small when compared to the number of male prisoners, though no one seems to know whether this reflects a smaller real crime rate or a reluctance to arrest women and sentence them to prison.

On the face of it, incarceration is not necessarily closely correlated to behavior which is objectively harmful to society. Those who seek to stop a disastrous war, or to eliminate racial discrimination are imprisoned—not those who lead the country into war, or practice racial discrimination. Frequently the big-time criminals go free, while small-time crooks are locked up for years. The distinction between "political prisoners" and "ordinary criminals" therefore loses a good deal of its meaning.
for the mere designation of certain acts as "criminal" and others as acceptable is already "political" and in our society strongly reflects class, racial and sexual biases.

The above argument, taken by itself, is incomplete, since it shows only that imprisonment is selective; lower income offenders and members of certain other minority groups, such as hippies or radicals are treated differently from wealthy criminals. It is nevertheless widely believed that prisons at least protect us from lower class criminals, thereby performing a useful and even necessary function; the economic bias could presumably be eliminated by imprisoning wealthy criminals, though this will never happen to any extent in a society based on economic privilege. To carry the argument to completion, it remains to examine the relevance of prisons to the various functions listed earlier: protection, deterrence, punishment and rehabilitation.

Even modern day prison administrators nominally committed to rehabilitation regard protection as the principle function of a prison. All other functions are relegated to a secondary role. This concern leads to an almost unbelievable fanaticism with regard to security inside the prison. Obsession with security might be amusing, were it not so annoying to the men inside, who have to put up with frequent counts, searches, a host of unnecessary regulations, and continual surveillance. The extremely low escape rate (over a thirty year period, roughly 8 prisoners out of 700,000 have escaped from the federal prison system), the large number of unapprehended criminals not in prison and the growing successful use of minimum security prison camps without walls shows how silly this obsession is, as does the fact that almost all prisoners will sooner or later be released from prison, usually within a few years of incapacitation, and regardless of any changes in the personality of the prisoner. Many prisoners are in fact released despite virtual certainty that they will soon return to prison. On a short term basis, then, prisons provide no protection; escapes are presumably feared because of the bureaucratic problems they create, and because of possible negative reactions among the public.

Prisons are also supposed to deter crime. To what extent deterrence inhibits crime is mostly unknown, though one statistical study indicates that increased length of sentence has little or no effect on crime rates. Psychologists generally believe that rewarding desired behavior is more effective than punishing undesired behavior. It is known that capital punishment does not deter murder more effectively than long-term imprisonment for the same crime. It seems likely that most crimes are not deterrable by imprisonment or any other form of punishment because the decision to commit them is not a rational one in which consequences are weighed in advance; probably few criminals are able to estimate accurately their chances for success, for example. In those cases where the decision to commit a crime is made rationally, certainty of punishment is likely to be a more important factor than the severity of punishment. Since most crimes are not cleared through arrest, most of those arrested are not convicted, and most of those convicted initially are not imprisoned, certainty of punishment does not exist in our judicial system, nor is it possible to conceive of a judicial system consistent with civil liberties that could insure such certainty.
We do know that imprisonment is remarkably ineffective in deterring prison inmates from returning to crime after their release. Recidivism rates depend somewhat on the type of institution and the type of offender, and figures quoted are not always reliable, but figures of 60 to 85 per cent are commonly accepted. These figures, while obviously not encouraging, are open to interpretation: it can be argued that since "hardened criminals" are more likely than others to be sent to prison, the low "cure rates" are perhaps not so surprising. This, however, amounts to an admission that imprisonment is not an effective way of preventing those convicted of crime from returning to it. Also, the assumption that only hardened criminals are sent to prison is unreliable. While multiple offenders are less likely to be granted probation, many other factors enter. For example, the frequency with which probation is granted varies widely from one state to another. Furthermore, the economic status of the defendant is crucially important in determining the disposition of his case. The amount of money he has available will determine whether he is able to make bail or must remain in jail until the trial. This factor is highly correlated to the rate of conviction and to the rate of incarceration following conviction.

Given the conditions in almost all jails, what is perhaps remarkable about recidivism rates, as many have commented, is not that they are so high but that they are so low. A man held in prison for a crime tends to classify himself as "a criminal," rather than as someone who has for one reason or another violated the law. This self-conception, linked as it often is to a lack of self-esteem, could be an important factor in determining behavior after release, especially when a released inmate has difficulty in finding a job because of his criminal record or lack of job skills, or when he encounters personal difficulties. Furthermore, the stray pieces of information about crime techniques that every prisoner picks up casually in conversation with other prisoners makes his return to crime all the more tempting when he encounters difficulty.

Other factors that enhance rather than deter crime after release, are the sexual tension and undercurrent of violence found in almost all prisons. The sexual tension arises from the close confinement and sexual isolation of prison society. This gives rise to a need to reassert masculine patterns of domination as a psychological defense against forced submission to the authority of prison guards and administration. The repressed hatred of guards may erupt after release from prison, if not in prison riots or attacks on guards or other inmates before release. The feeling that a sentence is too long and prison conditions unduly oppressive may lead to additional resentment and a desire to "get even" with society after release. Furthermore, the denial of conjugal visiting rights tends to disrupt families and other relationships, making it more difficult for the inmate to do time and to resume stable relationships on the outside after his release. This enhances the recidivism rate—as can only be expected from one's intuitive feelings that personal and sexual maladjustment must be a factor in at least some instances of law violation.
The notion that punishment by itself might improve the prisoner is even more curious than the notion that it might deter him from breaking laws in the future. George Bernard Shaw observed that no zookeeper would expect to turn a tiger into a Quaker by locking him in a cage, yet most jailers believe this of their prisoners, as evidenced by the almost universal practice of using solitary confinement, sometimes disguised as "administrative segregation" but just as solitary and just as confining, for unmanageable prisoners, including those who are emotionally disturbed or mentally ill.

While it may be true that children's behavior can be improved by punishment, the analogy with socially deviant adults is misleading. For punishment seems to succeed in improving children's behavior only when administered by a loving adult or respected authority in the context of a generally supportive emotional environment, which, needless to say, is not present in prison. In addition, punishment of a child's misbehavior can succeed in modifying that behavior only when the child is able to act differently. In those instances when undesirable social behavior arises from addiction to alcohol or drugs, lack of those educational or vocational skills needed to survive by legal means in our society, or, in rare cases, mental disorders, punishment is at best irrelevant and in some cases may be quite harmful.

Going beyond the question of whether punishment does any good, to the question of whether punishment should be administered anyway, even when it is known to serve no useful purpose, as Kant believed, one immediately becomes entangled in a web of essentially unanswerable questions such as the relative degree of individual versus societal responsibility for law violations, and our inability to formulate self-evident criteria for determining standards of justice in meting out punishment.

Quite apart from these questions, punishment by the State often appears as it did to Thoreau—childish and vindictive—a mere emotional outburst in which the State expresses anger at its inability to control its subjects. It is much like a temper tantrum, disguised by the ritual formality and cold impersonality of courtroom procedure.

In a moral sense, the propriety of punishment at the hands of the state seems especially questionable. A government responsible for the murder of thousands or millions in war is not in a very good position to pass judgment on domestic killers, whose body count has no hope, in a lifetime, of matching what the state frequently accomplishes in a day or week. The overwhelming majority of murders committed in this century have been committed legally, by governments in wartime. The largest thefts in our country have been thefts of land guaranteed by treaty to Indian tribes and Chicanos in the Southwest—thefts sponsored by our government. The largest number of kidnappings—those of Japanese-Americans during World War II—were carried out by the government with approval of the courts. Government kidnappings for ransom take place every time a person is arrested and held in jail before trial because of his inability to pay bail. Suppression of civil rights and civil liberties for minority groups and unpopular political organizations by governments at all levels is a familiar and sad story. The assumption of moral superiority implied when a government punishes a lawbreaker is incongruous and unjustified by reality. A black robe is no more a proof of purity than a white wedding gown.

We come now to the topic of rehabilitation—a word that can cover a multitude of sins and serves as the source of a good deal of bitterness to prisoners: despite much talk and slick government brochures (often printed in prison print shops) about rehabilitative programs, very few such programs can be found inside most prisons. Rehabilitation is still regarded as a luxury, something to think about after security and maintenance are insured. As a result, neither adequate funds nor staff is available for rehabilitation or treatment programs in most prisons.

Rose Giallombardo's study of the Women's Federal Reformatory at Alderson, West Virginia illustrates this point. Officers are instructed to do what they can to "treat the inmates," after other duties are finished. However there seems to be so many other duties that not much time for this is available. Officers who do have free time prefer to spend it alone in their offices. Only 3.3 per cent of the officers are college graduates.
Most come from rural areas, work in the prison system primarily for the money, and are considered by inmates to be ignorant of the urban conditions in which the inmates are accustomed to living. Most have no training in counseling or any type of rehabilitation work. The remote location of the prison (a typical feature of many federal and state institutions) makes the recruitment of officers difficult, since few are anxious to accept the social isolation. Indeed, the captain, before interviewing applicants for jobs as officers, is quoted as remarking, "I'll be happy just to have a warm body." 28

The scarcity of trained, competent staff is a problem everywhere. Alfred Schnur, 29 quoting figures from the Federal Bureau of Prisons, points out that for the 161,587 inmates in state and federal prisons in 1954, there were 23 full-time psychiatrists employed; on the basis of a 40 hour week, each inmate was able to receive 82 seconds per month of psychiatric care. The 67 psychologists and psychometrists were able to supplement this with an additional 4 minutes per month for each inmate. On the same basis, the 96 institutional parole officers were able to spend 6 minutes each month with an inmate, the 155 chaplains, 10 minutes a month and the 257 employees responsible for individual casework, less than 16 minutes a month. These figures are based on the ridiculously over-optimistic assumption that the relevant staff member spends all of his working hours with inmates. This is of course not the case. Much of his time will be spent in administrative tasks, handling records, working with the custodial staff, and sometimes leading group therapy sessions. It seems clear that an inmate who needs psychiatric or psychological therapy of some kind is not too likely to get it in prison. Even the Bureau of Prisons admits the inadequacy of therapeutic programs in the federal prison system, where standards are generally higher than those in state or local institutions.

It should not be assumed, however, that all, or even most inmates are mentally ill and in need of psychiatric treatment, although this view is a popular one among members of the "enlightened" public. The fact that adult crime rates increase directly with the unemployment rate, especially for property offenses, 30 which constitute about 90 per cent of all crimes committed, or that rates of delinquency among nationality groups
whose children at one time figured prominently in juvenile court statistics declined as these groups improved their economic and social status and moved out of neighborhoods with high delinquency rates.\textsuperscript{31} indicates that much crime is socially produced.

A substantial number of prison inmates simply lack the educational and vocational skills to function successfully within the law on the outside. Vocational rehabilitation programs are intended to help these inmates. Too often these involve unskilled labor whose main purposes are to help maintain the institution at the lowest possible cost, and to keep the inmates occupied during the day, not to help inmates prepare for a good job outside. Such programs help to keep inmates at the bottom of the economic ladder and increase the likelihood that the inmate will return to crime after his release: crimes committed for financial gain are usually not very lucrative, and hold little appeal to someone with a good job, but even crimes with small financial returns may appear worthwhile to a person who is unemployed or employable only at bare subsistence wages.

Some programs, such as those in Prison Industries, Inc., may prepare inmates for decent jobs outside, though the prison record is still a significant handicap in getting them. The number of prisoners allowed to participate in such programs is usually quite small. Furthermore, the programs are often closely involved with the military. Like much of what passes for higher education in the colleges and universities, prison industry programs serve primarily to train workers and technicians for the military-industrial complex at public expense.

Rehabilitation programs also include education. The low level of educational attainment of most inmates suggests that educational programs might be one of the most valuable services a prison could offer to its inmates. But the quality of educational programs is often poor, and the results have not been outstanding. In fact, for most prisoners, the usual duration and type of involvement in prison education programs is associated with higher than average post-release failure rates.\textsuperscript{30} In many institutions, inmates attend courses in the hope of impressing the parole board with a good institutional record, which may explain this surprising result. Technical innovations, such as
teaching machines are being introduced in some institutions, and could conceivably help to compensate for the lack of qualified teaching staff, but often at considerable cost: the introduction of teaching machines in a number of federal prisons was accompanied by the abolition of the prison libraries.32

A certain number of prisoners may in fact be unrehabilitatable, in the sense that their ability to acquire a stable life pattern and marketable skills may be quite limited. At best, they may be able to eke out a subsistence living at the most tedious and unpleasant jobs our society makes available, while others may be totally unemployable. In a productive economy based on sharing, this would present no difficulties at all. In a society based on competition, difficulties arise when such individuals acquire the values of a society where status is determined primarily on the basis of success in material acquisition, but lack the ability or motivation to compete successfully within the legal framework. The unsuccessful individual may respond to his situation in a number of ways, including law violations. Unfortunately for the individual involved, his incompetence may limit his success in this area also.

Such an individual is not a good candidate for rehabilitation, both because he is less likely to refrain from law violation after release, and because he is more likely to get caught. These two factors presumably help to explain why thieves have a high rate of recidivism compared to other criminals30. Another obstacle in the successful rehabilitation of this category of law violator is that unlike some prisoners (such as conscientious objectors) whose values differ in some way from those of society, his values coincide with those of society; a rehabilitation program revolving around a change in attitudes runs up against some of the most basic attitudes of our society. The inmate pictures himself, not unrealistically, as no different from the hustlers and con-men on the outside, who didn't get caught or bribed the judge or whose actions happen not to be illegal even though they had the same character and motivation as those committed by the inmate.

As with many categories of criminal activity, we have the choice of tolerating this category of crime as one of the costs of living in an acquisitive society, or of modifying our values and social
structure. For example, much theft might be eliminated if we had a guaranteed annual income or a socialized economy. Likewise, insurance, because it socializes the costs of theft, makes theft more tolerable because it is then less costly to any individual victim. Other examples of institutional arrangements that would minimize crime and/or its costs to individuals can easily be multiplied. Frequently such arrangements would result in other benefits as well. A drastic reduction in private car ownership in favor of publicly owned transportation would have ecological benefits in addition to reducing the rate of car theft and traffic accidents. Similarly, a guaranteed annual income would have benefits reaching far beyond the reduction of theft.

The room for improvements in rehabilitation programs is clearly great, though the precise direction in which improvements and innovations should be made is not always completely clear due to our astonishing lack of knowledge concerning the relative effectiveness of various programs now in existence. The design of such improvements, however, is not our present aim. Here we want to emphasize that any rehabilitative program, to the extent that it aims to change only the inmate, is inadequate. Rehabilitation is no substitute for changes in the larger society that will make rehabilitation, whether psychiatric or vocational, unnecessary.

We also want to stress that within the prison framework, severe limitations exist in the extent to which improvements in rehabilitation programs are possible.

A person incarcerated after conviction for a crime experiences what have come to be known as the "pains of imprisonment." These psychological "pains" arise from his being deprived of the liberty to which he was accustomed before his incarceration, from his being deprived of all material belongings, which in our society play a major role in helping an individual to form and maintain a sense of his own identity, from the denial of heterosexual relationships, the lack of autonomy, and the forced association with others, resulting, as it does, in a feeling of anxiety over lack of security when these others are also criminals. To this list we may also add the monotony of the prison routine and the almost total lack of privacy. These deprivations
are significant for us both in themselves and because of the compensating mechanisms inmates develop to cope with them.

The denial of liberty and autonomy is an obstacle in preparing an inmate for life outside prison, where he will have to make decisions on his own, affecting both himself and others. An individual's ability to act responsible can be acquired or strengthened only through his being given the opportunity to exercise responsibility. Prisons subvert the development of an inmate's autonomy by reducing him to a wholly dependent status in which every aspect of his life is governed by rules, whose reasons the inmate is not entitled to know and in many cases may not exist, and in whose formulation the inmate was allowed to take no part. The reasons for this are obvious: it is much easier to manage a herd of docile, obedient creatures than a group of responsible, thoughtful human beings. In a prison setting, the goals of responsibility and autonomy will always be sacrificed in the interests of trouble-free maintenance of the institution.

The denial of heterosexual relationships is likely to compound difficulties an inmate may have in relating to those of the opposite sex, particularly when the denial takes place in the context of a prison, which in other ways challenges an inmate's sense of his own masculinity. And the endless monotony of prison days and nights following one another in unchanging succession tends to dull the mind, destroying alertness and initiative, again subverting rehabilitation goals for the long-term prisoner.

The prisoner responds to his unpleasant position in such a way as to minimize his discomfort and to maximize the small benefits he may receive while in prison, by assuming one or another of the fixed social roles that differentiate prisoner society, and by adopting social values of the inmate society, values whose adoption helps to neutralize the pains of imprisonment. (We are oversimplifying here, since not every prisoner accepts these values to the same degree, and since, to a certain extent, inmate society values are not created only by the prison society but may also be brought into the prison from the outside, and may reflect values and attitudes of the larger society, though perhaps in distorted or intensified form.) The inmate social
system promotes group solidarity and provides emotional support to an inmate in dealing with the degradations and humiliations of prison life.

One of the most pronounced features of this counter-culture is its hostility toward the guards and members of the prison administration. This seems to arise partly from the need to inhibit informers, partly from the natural resentment of those who forcibly impose submission, humiliation and obedience to senseless and annoying regulations, and partly as a psychologically protective device that deflects the self-hatred that might in many cases accompany imprisonment, from oneself to members of another group.

Hostility of inmates for guards is often so great as to prevent ordinary conversation between guards and inmates; communication between members of these two groups must ordinarily be limited to an absolute minimum to prevent an inmate from being suspected as an informer. Ernest Ostro, recently released from a federal prison tells of one prisoner at Lewisburg Federal Penitentiary who claims never to have spoken to his cellblock guard in thirteen years of imprisonment, with the exception of once on the day of his incarceration, and once when he was released. An unusually humane guard may in some instances be able to overcome this barrier, but this is rare. The jobs a guard has to do ordinarily prevent him from showing much humanity. Needless to say, this hostility precludes the possibility of guards playing an effective role in "treating" prisoners, even if they were competent to do so.

Furthermore, the conflict between organizational needs and the needs of individual treatment, whether vocational or psychological, make these two incompatible: to a considerable extent, the existence of a prison and the regimentation imposed by its organizational needs make rehabilitation programs impossible to carry on.

The guards at Alderson who spent their free time alone in their offices instead of following the meaninglessly vague instructions to "treat the inmates" when they had time, were merely recognizing the mutual incompatibility of incarceration on an involuntary basis, and the needs of treatment. Treatment by nonsupervisory staff may be less subject to this limitation, but trained, competent staff are ordinarily not available for this purpose, and when they are available, they may be looked upon with suspicion by the custodial staff, which may sense a threat to its authority. Furthermore, the success of any program of psychological or psychiatric therapy is ordinarily thought to depend on the voluntary character of participation. A recent book describing the counseling programs in the California state prison system, which has gone farther than any other state in implementing widespread treatment programs by non-custodial personnel, concludes, "Until the present time there have been no satisfactory studies offering the essential data regarding the effects upon the inmates exposed to the correctional community." The authors express their personal beliefs that the results will be no worse than the traditional prison routine, which is not saying very much.

One important experiment, at Highfields, New Jersey, strongly suggests that counseling programs, at least for delinquent juveniles, tend to be much more successful than traditional reformatory programs, at least in terms of reducing recidivism, when conducted in a non-coercive atmosphere. This program was
established for delinquent 16- and 17-year-old boys without previous prison experience, who would live together in a building housing about 20 people, for a period of about 4 months, instead of being sent to the penitentiary at Annandale for at least a year. The group meets daily for group counseling with a counselor, who is also director of the center; other staff include a husband and wife who serve as houseparents and do maintenance work, and a handyman, to help boys with their hobbies. There are no guards, nor is there any other visible sign of coercion—no walls or fences. Boys are able to go to nearby towns with an adult, may go to church in nearby communities, or home on furloughs. A comparison study was done to determine changes of attitude and comparative recidivism rates with boys who had been sentenced to Annandale, and who had similar backgrounds and criminal records. In neither case were the changes in attitude very striking between the time of imprisonment and release, but the recidivism rates were impressively smaller for boys sentenced to Highfields, especially for blacks.

Vocational training and educational programs, if upgraded, could be valuable to those whose primary motivation for crime was economic; but this training need not take place in prison, as is recognized by those few institutions making use, on a very limited basis, of work release programs. There is no need to put a person in jail before training him for a job. In fact, it seems somewhat irrational to provide job training only to those who have first committed a crime. The time for education and vocational training is before a crime has been committed. Other factors that diminish the value of in-prison job training are the small numbers such programs can accommodate, the low standards of workmanship and the deliberately slow work rates maintained by prisoners who resent being forced to work, especially at the extremely low pay scale of prison industries. It would be much more sensible to free the prisoners and provide vocational and educational programs on the outside.

These aspects of prison life which subvert the goals of "rehabilitation" are reinforced by the moral corruption of prison life, in which small-scale embezzling, bribery and favoritism abound. These factors seem to appear in the functioning of any large, total institution, not just prisons. The role of officers in these activities is frequently not small, and may lead to a good deal of cynicism on the part of inmates, it is likely to be admired by the other inmates as a successful violation of administration-imposed rules, particularly when the fruits of corruption are shared. Clearly the prison environment is not one that is likely to encourage the development of respect for law.

If we rule out purely retributive punishment as pointless, our survey of the functions that prisons are supposed to serve leads to the conclusion that prisons are not known to serve any useful purposes. The vocational, educational and psychological treatment programs are largely undermined by the precedence given to security and maintenance of the institution, and could be operated much more successfully for those who want them, outside of prison, and on a voluntary basis. Since such programs would also be open to those who have not yet committed any crimes, this could also become a major contribution toward crime prevention. At the same time it will be necessary to eliminate the economic factors that lead to crime. In the long run, reorganization of society could eliminate much of the crime we see today. Probably no social reorganization will ever succeed in wiping out all manifestations of anti-social behavior, but this need not be a serious problem, even if alternatives to imprisonment are not found. A society that is pre-
pared to tolerate 56,000 traffic fatalities a year has no reason to be worried about occasional murders; a society that squanders many billions a year on armaments is not really worried about petty theft; it only thinks it is.

Imprisonment is not very effective in deterring those who commit crime, and there is not much reason to believe that it deters those who have not yet done so. In particular, there is no reason to believe that different kinds of penalties, whether monetary or other, are less effective than imprisonment in obtaining adherence to laws. The use of rewards, such as cash incentives to prevent recidivism, remains wholly unexplored. We are not likely to know more about this as long as we can rely on prisons. The abolition of prisons, on the other hand, will clearly stimulate experimentation in other methods of preventing crime.

Yet, while fear of occasional murders may be irrational, people nevertheless are afraid, and will have to be shown alternatives, if they are to support a step like prison abolition. One simple possibility is to follow those who have committed crimes of violence, and physically restrain them from repeating their acts. Another possibility is to make guns inaccessible. A third possibility is suggested by penal institutions in other countries. Mexico, for example, maintains Tres Marias, an island penal colony for prisoners with long records of previous offences, with sentences of twenty years or more for murder, assault and other crimes of violence. Prisoners have complete freedom on the island's 34,000 acres. After an orientation period, the prisoner's family may join him, and he selects a house in which they live. Prisoners can farm, start a business, or work for one of the businesses on the island; male and female prisoners may marry one another, and they may marry someone from the non-prison population on the island. Prisoners are counted only once a month, the only day on which they must wear the prison uniform. There seems to be no difficulty in maintaining a normal, healthy environment, so that Tres Marias is not plagued by the homosexuality and constant violence of American prisons—there are only occasional fights between two men over a woman—and prisoners are not plagued by problems of psychological readjustment after their release.

Need such a program operate only on remote Atlantic islands? The experience of the van der Hoeven Kliniek in Holland indicates not. Holland has a very low rate of criminality compared to the United States—the per capita crime rate is only one-fifth of ours, so that the total prison population is only about 2600. These are divided among 43 prisons, on the basis of sex, age, character and length of sentence, mental problems, type of crime, and the prisoner's preference for solitary confinement as compared with dormitory living. This results in a highly homogeneous prison population of small size, making individual treatment much more feasible than in this country. The criminally insane are sent to the clinic in Utrecht, where they undergo intense psychotherapy. There are no guards and only a low wall. Inmates may go outside to visit families in the vicinity, and may participate in a work-release program. Despite the lack of security restrictions, only one serious incident occurred in ten years of the clinic's operation. The success of this clinic allows one to imagine small centers located in a community, where those who have committed very serious crimes of violence might undergo highly individualized voluntary treatment, not isolated from their families and friends and looking toward reintegration in the community. It goes without saying that cooperation and support of community groups would be essential to the success of a program of this kind.

Just as prison abolition would force us to find new ways of dealing with the problems prisons were invented to solve, the success of new experiments, along the lines just indicated, or perhaps along quite different lines, would do much to stimulate a movement for prison abolition. Another approach to prison abolition involves the efforts of those inside at making prisons unworkable. Prisons, like the Selective Service System, function primarily through the cooperation of those whom they oppress. Both systems are highly vulnerable to systematic organized opposition from within. The task of those outside is public education and support for the efforts of those inside. This will obviously be no light task given the present climate of public opinion.

In the meantime, a number of reforms could be instituted to improve the situation of those in prison, and bring closer the day when prisons can be eliminated. It should be understood,
however, that all reforms are not equally desirable. Prison reforms that serve to increase control over inmates while presenting the image of a liberalization, are clearly undesirable. Reforms involving special privileges to certain classes of inmates, especially the overtly political prisoners, fall into this category. Their effect is to divide those who must be solidly united if their opposition to the prison system is to have any chance of success. Especially desirable are reforms that help a prisoner to keep his head together and thereby resist the efforts of the prison system to break his spirit, and those which will give the prisoner weapons that he can use to fight the prison system.

My own suggestions for reforms, consistent with these criteria, follow.

1. Prisoners should be entitled to all civil liberties guaranteed by the constitution, including the right to unlimited, uncensored correspondence with anyone, the right to receive any books, magazines or newspapers, to receive visits from anyone, the right to publish articles, and to hold political meetings in prison. These rights would help reduce a prisoner's isolation, will reduce the power an administration has over inmates, and above all, will allow him to publicize conditions in the prison.

Prisoners should be entitled to all rights of due process for infraction of prison regulations. The present Disciplinary Committees do not meet this requirement. The inmate should be able to appeal any decision to an appeals committee of non-prison personnel. Solitary confinement, when involuntary, should be eliminated as cruel and unusual punishment. To a certain extent, it may be possible to win some of these rights in the courts, but the efforts of prisoners will be crucial.

2. Indefinite sentencing should be eliminated. Although the Federal Bureau of Prisons hails this procedure as "a milestone in Federal Sentencing," inmates find that it has more the character of a millstone about the neck. Inmates find it difficult to adjust to a sentence of indeterminate length. Ward and Kassebaum found this uncertainty to be a major contribution to the pains of imprisonment of adult women offenders incarcerated at a California State Penitentiary. Prison officials like the practice because it increases their control over the inmates. Unfortunately for the inmates, those sentenced on this basis frequently find themselves doing much more time than those given a fixed sentence for the same offense. The argument in favor of this type of sentence, that it allows for "individual treatment" of the prisoner is vitiated by the absence of such treatment in prison, and indeed the virtual impossibility of providing it in an institutional setting. It also poses a serious threat to civil liberties.

3. No prisoner should be forced to work. Most work in prison is tedious labor necessary for the maintenance of the institution, or for the purpose of presenting a favorable image to the public. While many inmates are willing to work to help pass the time, no one should be forced to do so. Pay and working conditions should both meet union standards. Pay comparable to that earned for equivalent work done on the outside is given in Finland, in the Netherlands, and in the U.S.S.R. Much of the additional cost would be absorbed by the removal of inmates' families from welfare roles, and the reduced probability that a prisoner with substantial cash savings will recidivate if he is unable to find a job immediately after his release.

4. Each prisoner should be entitled to a monthly cash allowance to pay travel expenses for friends and relatives who wish to visit. At Alderson, only 12 per cent of the inmates received visits in 1962, presumably because the distance and expense involved in travelling to a remote spot were prohibitive to friends and relatives of many of the other inmates. The value of frequent visits to the emotional well-being of prisoners is considerable, and the expense involved need not be prohibitive.

5. Widespread use of recognizance bonds would drastically decrease the population of county jails. This alone would make county jails much more livable for those doing time in them. The money saved could be used in a variety of ways to improve living conditions for prisoners. The fact that imprisonment rates for those arrested could be expected to drop dramatically as the use of recognizance bonds increased would result in further savings. Experiments with recognizance bonds in several
cities have indicated that forfeiture rates can be kept quite low. The need felt by some for "preventive detention" might be obviated by the speedy scheduling of trials.

6. Many "crimes" could be eliminated from the lawbooks as unnecessary or harmful. Laws against crimes without victims, such as narcotics laws, abortion laws, laws against a variety of voluntary sex acts—such as homosexuality or prostitution—between consenting adults and the Selective Service Act fall into this category.

7. The use of probation as an alternative to imprisonment should be extended widely. Judges are frequently reluctant to overburden an already greatly overextended probation service. (More than two-thirds of those under felony probation and more than three-fourths of those under misdemeanor probation are in caseloads of more than 100, and hardly any are in caseloads under 50.) In such circumstances a judge is likely to substitute incarceration for probation. Prison administrators estimated that from 25 to 40 per cent of their inmates could have been sentenced to probation, and the number is very likely larger, even using a prison administrator's standards, since a prison administrator may be biased in applying his own standards to an inmate population.

Clearly the situation could be alleviated by significantly increasing the size of the probation staff and the facilities available to it. Since the financial cost of keeping a man in probation is small compared to the cost of imprisoning him, the money saved could be used for financing the expansion of parole and probation programs. The Swedish and Japanese practice of obtaining small caseloads by using volunteers should be tried.

8. A prisoner should be able to see and to insert material in the records kept on him by the prison administration. This is important because negative reports inserted by the administration in his record may jeopardize his chances at parole.

9. Prisoners should have the right of conjugal visits or furloughs at frequent intervals, from spouse or other man or women. No laws are needed to allow this. So far as I am aware, any warden could institute such a program any time he wanted to do so. In the United States, only the Mississippi State Penitentiary at Parchman permits conjugal visits. The program there, begun in 1956, is limited to wives of prisoners. In the opinion of the warden, homosexuality has been reduced, and the program has worked out well. This seems to be the case in Sweden and in Soviet penal labor colonies where visits are allowed 28 times a year. The value of conjugal visits to the prisoner and his family, are obvious.

10. Prisoners are entitled to educational and vocational training programs consistent with their interests. When these are not available inside the prisons, the inmate must be allowed to participate in such programs either through correspondence courses or through study and work training programs outside the prison at government expense.

Caveat Captor!

FTFBP
ILLUSTRATIONS

Front cover. Painting by van Gogh, 1880, after woodcut by Dore.

Front inner cover. Fifteen of twenty-two prisoners confined for almost eleven hours in a sweatbox seven and a half feet square, smothering one of them.
Rising Fawn prison camp, Georgia, August 1941. (Acme)

p. 5. Prison reform photo #1. February 1939, workhouse, Knoxville, Tennessee, dashing single stripe replaces multiple horizontal stripes. (Acme)

p. 9. New arrivals at Sing Sing, June 21, 1955, include oleomargarine heir Mickey Jelke, second from left, convicted of operating a ring of call girls.
(International News Photos)

p. 12. Armed trustees at Parchman Farm, Mississippi, ca. 1935. Note fingers on triggers. A trustee who shot a fleeing prisoner would be granted a pardon.

p. 17. The International News Photos caption: "No tenants for $8,000,000 Boosegow. Poughkeepsie, N.Y. It seems that the war has had an uplifting influence on Americans. Else how come this marvelous new jug, built at a cost of $8,000,000, is without a single bonafide tenant? Completed at the end of 1941, the 50 acre, 15 building prison...is called Green Haven Prison for Men, but the only men there are members of the maintenance crew of 22. There are accommodations for 2,016 inmates...One use proposed for the prison is as a concentration camp for enemy aliens or other enemy prisoners."

p. 18.WATCHED BY AN ARMED GUARD. Two inmates carry a fellow prisoner wounded by gunfire during a thousand-prisoner riot of Missouri State Penitentiary, September 1954. (AP)

p. 21. Death Row, Missouri State Penitentiary, 1953. Assistant deputy warden Charles Parker points out cell that will hold Carl Austin Hall, sentenced to the gas chamber for the kidnap-murder of Bobby Greenlease. (AP)

p. 23. Hearse bearing the bodies of Julius and Ethel Rosenberg leaves Sing Sing, June 20, 1953. (United Press)

p. 24. Prison reform photo #2. Television comes to King County Jail, Seattle, Washington, January 1954. To watch your favorite program all you have to do is slip a quarter in the meter. (AP)

p. 26. Quakers whipped through streets of Boston, 1678, probably for violating act forbidding Quakers to enter the colony. Drawing by W. L. Sheppard.

p. 29. Parolee arrested driving stolen car, November 1938. (INP)


Back cover. A clenched fist for Sheriff Ralph Kreiger, Cuyahoga county jail, Cleveland, March 1969. Prisoners are protesting visiting restrictions imposed by Kreiger. (AP)

NOTES


4. This paragraph should not be interpreted as meaning that the author believes people should pay federal income taxes. He believes it would be better if they did not.


9. On November 30, 1967, Cook County Jail held 1913 inmates. Of these, 88 were awaiting trial in federal court, 1449 were awaiting trial or court action in local courts. Only 464 inmates were serving sentences (see Ref. 7). Of the 1654 women held in Cook County in 1964, only 99 were sentenced to do time there.

10. The deterrent effect of prisons is familiar to anyone involved in draft resistance: the number of draft resisters would no doubt increase were there no prison sentence given for resistance, though by how much no one knows. This illustrates that imprisonment may deter socially desirable as well as socially undesirable acts.


14. Due to cases of arrests not being reported, quoted figures may underestimate the true rate of recidivism, especially for older data. Another factor that leads to underestimation of the true recidivism rate is that data report only arrests, that is, cases where the criminal was caught. If the crime was committed successfully the information will not be reflected in recidivism rates. This factor is never mentioned in sociological studies of recidivism, showing how even impartial and "objective" observers tend unconsciously to accept official values of the prison administration, which mostly concern themselves with the narrow functioning of the prison system, rather than with the society at large.

19. When the population density of caged rats exceeds a critical level, the rate of violence and sexual deviation increases dramatically. Although the extrapolation to human behavior is of course an uncertain one, the rat experiments are at least suggestive in their implications for prison society.
21. According to James V. Bennett, former Director of the Federal Bureau of Prisons, in "A Cool Look at the Crime Crisis," Harpers (April 1964) p. 125, "Our criminal laws are the most severe in the world, and our legislative bodies are still at work making them more severe... Only in America do we find, occasionally, sentences of 199 years and 100 years, and regularly sentences of 30, 40 and 50 years. In England the course of a year no more than 150 men are likely to be given sentences of 5 years or more. In the United States the number is about 15,000."
24. G. B. Shaw, op. cit., p. 18. Quakers have long been concerned about prison conditions. Solitary confinement, in fact, is a reform urged by Quakers, who thought it would help wrong-doers repent. Such has not proved to be the case. Today solitary confinement is one of the forms of punishment most feared by prisoners.
28. Ibid. p. 29.
32. The Federal Bureau of Prisons issued a memorandum in 1967 ordering prison libraries to be closed. At this time, some libraries have been closed while others are still operating, according to current inmates of federal prisons.
44. Thirty Years of Progress (published by the Bureau of Prisons), pp. 22-24.
49. According to reference 2, state institutional cost is about 14 times that of probation, for adults.
ABOUT THE AUTHOR

Dr. Greenberg is Research Physicist at Carnegie-Mellon University doing research in theoretical high energy physics and teaching history and economics. He is on leave of absence from the staff of CADRE (Chicago Area Draft Resisters). With Beverly Houghton he has edited Behind Bars: The Prison Experiences of War Resisters, an anthology of writings by men and women who have been imprisoned for ant war activities. He is a member of an AFSC working party on alternatives to the present system of criminal justice.

ABOUT AFSC & PRISONS

The concerns of the American Friends Service Committee regarding jails, prisons, prisoners, ex-convicts and their families are a direct outgrowth of the history of the Religious Society of Friends. During the early years of the Society many members were imprisoned as a result of their convictions, and in recent years staff members and supporters of the AFSC have again gone to jail or prison because of involvement in peace and freedom concerns. Since 1947 the AFSC has worked in the field of pre- and post-release problems through halfway houses, prison visitation and, more recently, pre-trial justice programs.

In the present debate on the "war on crime" there are many who sincerely believe that preventive detention, stiffer sentences and a tighter and more punitive control of offenders and ex-offenders is the only effective solution. This attitude can well influence for years to come our penal policies and practices. Others maintain that prisons are merely schools for crime, that alternative supportive systems are needed in order to provide true rehabilitation and a share in the abundance of our society.

The AFSC believes that truth can best be found by encouraging wide ranging and free debate on this important subject. There is increasing resistance to the continuation of what Chief Justice Burger has called "our collective failure to develop effective systems of correction." Questions are being raised from within prisons as well as without. The issues are being raised by prisoners and ex-prisoners and by those in the universities, the social disciplines and the legal profession as to what can be done to bring about effective, radical change. The AFSC sees Professor Greenberg's paper as part of a continuing dialogue in a search for alternatives to the present prison system which, as one ex-prisoner puts it, "cannot be reformed (but) has to be replaced."
The PROBLEM of PRISONS
DAVID F. GREENBERG